

# ChinaAMC Hang Seng Hong Kong Biotech Index ETF

(Stock Code: 3069/9069)

Fund Factsheet



As of 28 Mar 2024

37/F, Bank of China Tower, 1 Garden Road, Hong Kong

Investment involves risks, including the loss of principle. Past performance is not indicative of future results. Before investing in the ChinaAMC Hang Seng Hong Kong Biotech Index ETF (the "Fund"), investor should refer to the Fund's prospectus for details, including the risk factors. You should not make investment decision based on the information on this material alone. Please note:

- The Fund aims to provide investment result that, before fees and expenses, closely corresponds to the performance of the Hang Seng Hong Kong-Listed Biotech Index (the "Index").
- The Fund is passively managed. Falls in the Index are expected to result in corresponding falls in the value of the Fund.
- The Fund's investment in equity securities is subject to general market risks, whose value may fluctuate due to various factors.
- The Index is a new index. The Fund may be riskier than those tracking more established indices with longer operating history.
- The Fund is subject to concentration risks in biotech companies and in a particular geographical region (i.e. Hong Kong and mainland China). The Fund may be more volatile than a broadly-based fund.
- The Fund is exposed to risks associated with characters of biotech companies, such as pre-revenue, incurrence of net current liabilities, lower liquidity, higher volatility, dependency on intellectual property rights or patents, technological changes, increased regulations and intense competition.
- The Fund is subject to securities lending transactions risks, including the risk that the borrower may fail to return the securities in a timely manner.
- The Fund is subject to tracking error risk.
- If any suspension of the inter-counter transfer of Listed Units and/or any limitation on the level of services by brokers and CCASS participants occurs, unitholders will only be able to trade their units in the relevant counter.
- The market price of Listed Units traded in each counter may deviate significantly.
- Unitholders will receive distributions in the HKD only. Unitholder without HKD account may have to bear the fees and charges associated with currency conversion.
- The Fund has units traded in HKD, RMB and USD. Secondary market investors may be subject to additional costs or losses associated with foreign currency fluctuations between HKD and RMB/USD.
- Listed and Unlisted Classes are subject to different pricing and dealing arrangements. NAV per Unit of each class may be different due to different fees and cost. Dealing deadlines in respect of Listed and Unlisted Classes are different. Trading hours of SEHK applicable to Listed Class in the secondary market and dealing deadlines in respect of the Listed Class on the primary market or Unlisted Class are also different.
- Units of Listed Class are traded in the secondary market on an intraday basis at the prevailing market price, while Units of Unlisted Class are sold through intermediaries based on the dealing day-end NAV. Investors of Unlisted Class could redeem at NAV while investors of Listed Class in the secondary market could only sell at the prevailing market price and may have to exit the Fund at a significant discount. Investors of Unlisted Class may be at an advantage or disadvantage compared to investors of Listed Class.
- The trading price of Listed Units is driven by market factors such as the demand and supply of the units. Therefore, Listed Units may trade at a substantial premium or discount to the Fund's NAV.
- The Fund may at its discretion pay dividend out of capital or effectively out of capital. Payment of dividends out of capital or effectively out of capital amounts to a return or withdrawal of part of an investor's original investment or from any capital gains attributable to that original investment. Any such distributions may result in an immediate reduction in the NAV per Unit of the Fund.

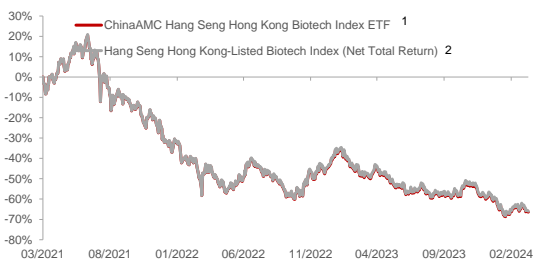
## ▲ Investment Objective

The investment objective of the Sub-Fund is to provide investment results that, before fees and expenses, closely correspond to the performance of the Hang Seng Hong Kong-Listed Biotech Index.

## ▲ Fund Information<sup>3</sup>

|                  |   |
|------------------|---|
| Manager          | China Asset Management (Hong Kong) Limited  |
| Trustee          | HSBC Institutional Trust Services (Asia) Limited  |
| Management Fee   | 0.5% p.a.   |
| Base Currency    | HKD   |
| Index            | Hang Seng Hong Kong-Listed Biotech Index  |
| Exchange Listing | The Stock Exchange of Hong Kong Limited -Main Board   |
| Fund Size        | HKD 503.21 million  |
| ETF Website      | <a href="https://www.chinaamc.com.hk/product/chinaamc-hang-seng-hong-kong-biotech-index-etf-3069-hk-9069-hk/">https://www.chinaamc.com.hk/product/chinaamc-hang-seng-hong-kong-biotech-index-etf-3069-hk-9069-hk/</a> |

## ▲ Fund Performance



## Secondary Market Trading Information

|                         | USD Counter  | HKD Counter  |
|-------------------------|--------------|--------------|
| Commencement of Dealing | 30-Jun-2021  | 18-Mar-2021  |
| Stock Code              | 9069         | 3069         |
| Trading Currency        | USD          | HKD          |
| Trading Board Lot Size  | 100 Units    | 100 Units    |
| Bloomberg Fund Ticker   | 9069 HK      | 3069 HK      |
| ISIN Code               | HK0000744208 | HK0000711199 |

## Latest Fund Distribution<sup>5</sup>

| Ex-Dividend Date | Distribution (HKD) |
|------------------|--------------------|
| 18-Dec-2023      | 0.03               |

## ▲ Cumulative Return<sup>1,2</sup>

|  | 1 Month | 6 Month | 1 Year  | 3 Year  | 5 Year | Since Inception <sup>4</sup> |
|--|---------|---------|---------|---------|--------|------------------------------|
| ChinaAMC Hang Seng Hong Kong Biotech Index ETF <sup>1</sup>              | -8.01%  | -20.67% | -33.53% | -65.27% | -      | -66.28%                      |
| Hang Seng Hong Kong-Listed Biotech Index (Net Total Return) <sup>2</sup> | -8.02%  | -20.53% | -33.25% | -64.72% | -      | -65.87%                      |

## ▲ Yearly Return<sup>1,2</sup>

|  | 2019 | 2020 | 2021 <sup>4</sup> | 2022    | 2023    | 2024YTD |
|--|------|------|-------------------|---------|---------|---------|
| ChinaAMC Hang Seng Hong Kong Biotech Index ETF <sup>1</sup>              | -    | -    | -30.99%           | -18.31% | -23.59% | -21.72% |
| Hang Seng Hong Kong-Listed Biotech Index (Net Total Return) <sup>2</sup> | -    | -    | -31.08%           | -17.72% | -23.15% | -21.68% |

<sup>1</sup> Past performance information is not indicative of future performance. Investors may not get back the full amount invested. The computation basis of the performance is calculated on NAV-to-NAV, with dividend reinvested. With effect from 8 November 2021, the investment strategy of the Fund was changed. The performance of the Fund prior to 8 November 2021 was achieved under circumstances that no longer apply. Performance analytics here refer to HKD counter unit. With effect from 5 June 2023, the portfolio of the Fund was rebalanced to reflect the changes to the Index methodology. The performance of the Fund prior to 5 June 2023 was achieved under circumstances that no longer apply

<sup>2</sup> Based on total return, dominated in HKD

<sup>3</sup> Please refer to the Fund's prospectus for further details (including fees).

<sup>4</sup> Calculated since 17 March 2021, the first official NAV date of the fund.

<sup>5</sup> The Manager intends to distribute income to Unitholders at least annually (usually in December), subject to the Manager's discretion, having regard to the Fund's net income after fees and costs. All Units (whether USD, RMB or HKD traded Units) will receive distributions in HKD only. Distributions may be paid out of capital or effectively out of capital as well as income at the Manager's discretion.

Source: China Asset Management (Hong Kong) Limited and Bloomberg unless specified otherwise.

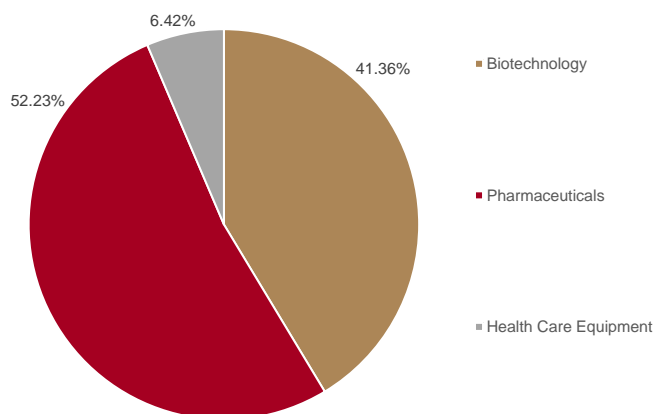
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## ▲ Portfolio Allocation



## ▲ Participating Dealers

ABN AMRO Clearing Hong Kong Limited  
Barclays Bank PLC  
BNP Paribas  
China International Capital Corporation (Hong Kong) Limited  
China Merchants Securities (HK) Co Ltd  
Citigroup Global Markets Asia Limited  
Credit Suisse Securities (Hong Kong) Limited  
DBS Vickers (Hong Kong) Limited  
Goldman Sachs (Asia) Securities Limited  
Guotai Junan Securities (Hong Kong) Limited  
Haitong International Securities Group Limited  
Huatai Financial Holdings (Hong Kong) Limited  
J.P. Morgan Broking (Hong Kong) Limited  
Korea Investment & Securities Asia Limited  
Merrill Lynch Far East Limited  
Mirae Asset Securities (HK) Limited  
The Hongkong and Shanghai Banking Corporation Limited  
Yue Xiu Securities Company Limited  
Zhongtai International Securities Limited

## ▲ Top 10 Holdings

| Security Name   | Weight |
|-----------------|--------|
| BEIGENE LT      | 10.42% |
| CSPC PHARM GRP  | 9.57%  |
| INNOVENT BIOLO  | 9.04%  |
| WUXI BIOLOGICS  | 8.22%  |
| SINO BIOPHARMAC | 5.94%  |
| AKESO INC       | 5.13%  |
| GENSCRIPT BIOTE | 3.49%  |
| GIANT BIOGENE   | 3.32%  |
| HANSOH PHARMAC  | 3.20%  |
| JD HEALTH INTER | 2.70%  |

## ▲ Market Makers

**HKD Counter**  
Flow Traders Hong Kong Limited  
Vivienne Court Trading Pty. Ltd.  
Optiver Trading Hong Kong Limited

**USD Counter**  
Flow Traders Hong Kong Limited  
Vivienne Court Trading Pty. Ltd.  
Optiver Trading Hong Kong Limited  
*Please refer to the Hong Kong Exchanges and Clearing Limited's website at [www.hkex.com.hk](http://www.hkex.com.hk) for the latest lists of Market Makers.*

## About China Asset Management (Hong Kong) Limited

Founded in 2008, China Asset Management (Hong Kong) Limited ("ChinaAMC (HK)") is a wholly-owned subsidiary of China Asset Management Company Limited and stands as one of the top Chinese fund management firms in Hong Kong. As a China-focused market leader, the company has amassed an impressive performance history in both active and passive investments over the past 15 years. Boasting robust expertise in a variety of asset classes, such as Greater China equities, Asian and global fixed income, and money markets, ChinaAMC (HK) adopts a global outlook to build a versatile platform catering to institutional and retail investors in the region and worldwide, all while envisioning "Beyond China Expert".

Customer Hotline: (852) 3406 8686

Website: [www.chinaamc.com.hk](http://www.chinaamc.com.hk)

Email: [hkfund\\_services@chinaamc.com](mailto:hkfund_services@chinaamc.com)

### Risk Warning

Investment involves risks. The price of the Fund's units may go up as well as down. Past performance is not indicative of future performance, future return is not guaranteed and a loss of your original capital may occur. This material does not constitute an offer or solicitation of any transaction in any securities or collective investment schemes, nor does it constitute any investment advice. This document is provided for information purposes only and shall not be relied upon for making any investment decision. Certain information or data in this document has been obtained from unaffiliated third parties; we have reasonable belief that such information or data is accurate, complete and up to the date as indicated; China Asset Management (Hong Kong) Limited accepts responsibility for accurately reproducing such data and information but makes no warranty or representation as to the completeness or accuracy of data and information sourced from such unaffiliated third parties. You should read the Fund's offering documents for further details, including risk factors. If necessary, you should seek independent professional advice. This material has been prepared and issued by China Asset Management (Hong Kong) Limited. This material has not been reviewed by the Securities and Futures Commission.

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